

PhD LIBRARY CAMP Module 2

PUBLISHING AN ARTICLE:
HOW TO MAKE AN INFORMED
CHOICE?

Vincent.Huber@unige.ch Audrey.Bellier@unige.ch

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PROGRAM

- Scientific publishing process
- Open Access models
- Research evaluation
- Get visible!
- Study cases







SCIENTIFIC PUBLISHING PROCESS

A FEW DATES

1665 First formal scientific journals

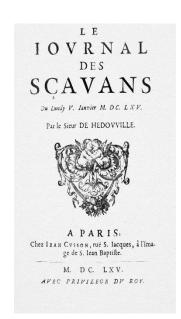
- Journal des savants
- Philosophical Transactions of the Royal Society

1772 1st peer-review (Royal Society of Edinburgh)

1893 British Medical Journal → peer-review

1964 Nature → peer-review

2006 PLOS ONE → 1st non-selective peer-review



http://gallica.bnf.fr

TRADITIONAL PER-REVIEW









...who may reject it...

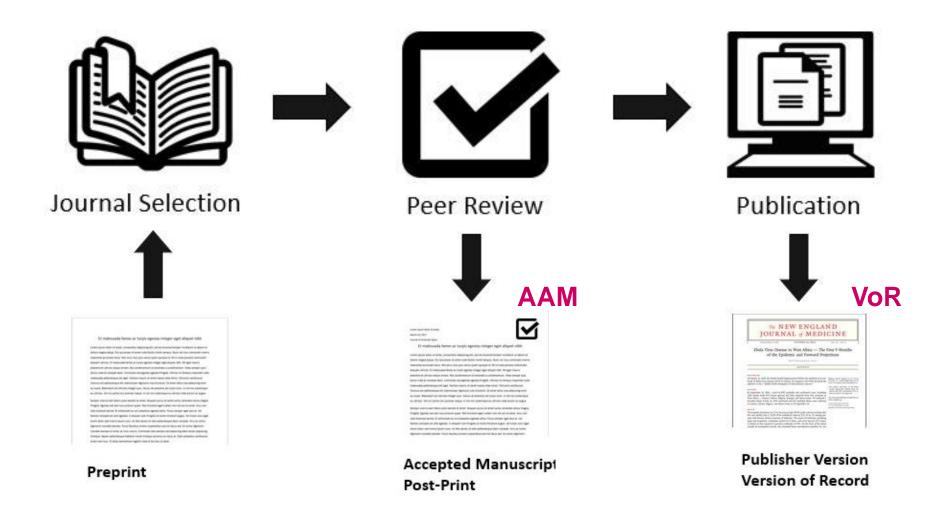


...return it to the scientist for corrections...



...or accept it for publication!

PUBLICATION PROCESS / ARTICLE VERSIONS



New tendencies in peer review

- Interactions during pre-publication reviewing
- Platforms for reviewing and discussing publications (Publons, Pubpeer)
- Immediate publication with no formal review (preprint)
- Immediate publication with post-publication review
- Open review







OPEN ACCESS MOVEMENT

- 1990 First online-only journal
- 1991 First subject repository (arXiv)
- 2002 Budapest Open Access Initiative
- 2003 Berlin Declaration
- 2012 DORA Declaration



BERLIN DECLARATION



The Internet has fundamentally changed the practical and economic realities of distributing scientific knowledge and cultural heritage. For the first time ever, the Internet now offers the chance to constitute a **global and interactive** representation of human knowledge (...) and the guarantee of worldwide access.

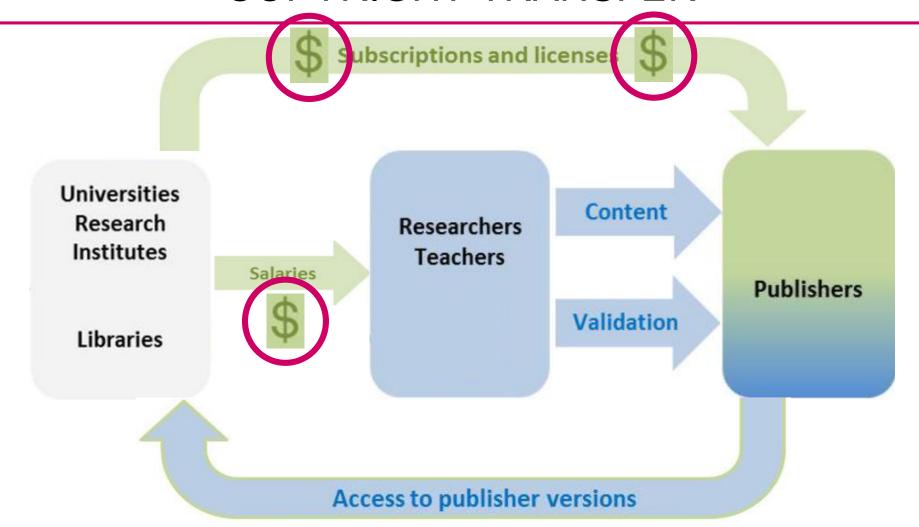
Our mission of disseminating knowledge is only half complete if the information is not made widely and readily available to society.



OPEN ACCESS EXPLAINED!



SUBSCRIPTION-BASED MODEL IS ABOUT COPYRIGHT TRANSFER



CLASSIFICATION OF OPEN ACCESS

Gold Road



All articles of a Journal are accessible for free on the journal's website

Green Road



Self-archiving on an institutional repository

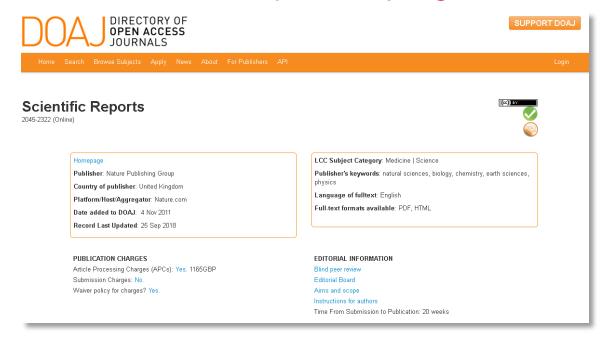
Hybrid



Subscription Journal, but options to make individual papers open for a fee



- Processing Charges can be required
 - ✓ Article Processing Charge APC
 - ✓ Book Processing Charge BPC
- Journal list available on https://doaj.org

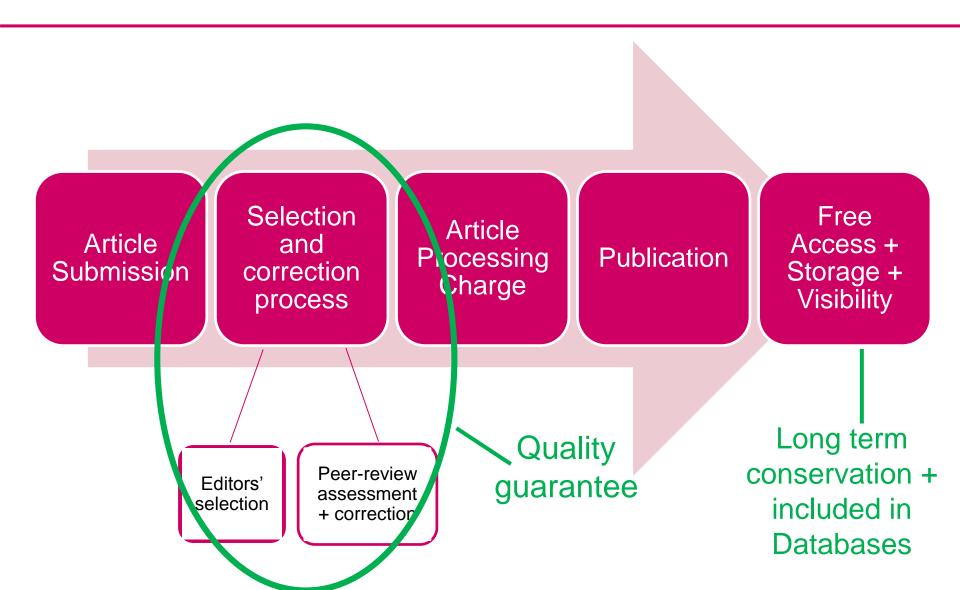


PREDATORY JOURNALS

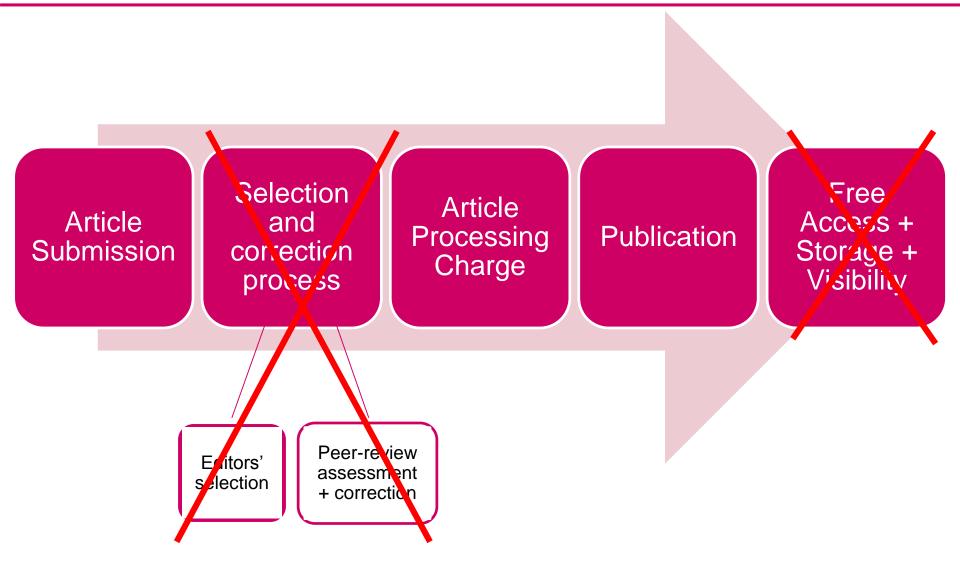


https://scholarlykitchen.sspnet.org/2019/05/01/cabells-predatory-journal-blacklist-an-updated-review/

CLASSIC GOLD OPEN ACCESS JOURNAL



PREDATORY JOURNAL



PREDATORY JOURNALS' PRACTICES

A wide array of unethical business practices, such as

- Fraudulent claims: about where they are indexed, impact factors
- False pretense: copying names and designs of established journals
- Deceptive promises: implausible swift publication, yet peer review
- No transparency: about quality control, fees, copyright, withdrawal and digital archiving
- Fictional editorial boards, or using the names of recognised researchers without their knowledge!
- Spam emails, sometime overly flattering

WHY COULD IT BE A PROBLEM?

- For the researcher / its institution / funder
 - Lack of credibility
 - Your paper won't be accepted in an another journal
 - Lack of visibility
 - Lack of long-term storage
 - Your name can be associated to one of those journals

WHY COULD IT BE A PROBLEM?

For Science itself:

- Difficulty to distinguish good peerreviewed articles from low quality papers
- Decrease of general science quality
- Almost impossible to obtain a retraction (some bad articles are still findable)
- Waste of resources (good research do not get the visibility they deserve)



IF SOMETHING IS FORMATTED LIKE A SERIOUS SCIENTIFIC PAPER, IT CAN TAKE ME A WHILE TO REALIZE IT ISN'T ONE.



PREDATORY JOURNALS

How to prevent it?



Choose the right journal for your research

https://thinkchecksubmit.org/check/

CLASSIFICATION OF OPEN ACCESS

Gold Road



All articles of a Journal are accessible for free on the journal's website

Green Road



Self-archiving on an institutional repository

Hybrid



Subscription Journal, but options to make individual papers open for a fee





- 1. Author publishes in a Journal of his/her choice
- 2. The author him/herself posts the article to an openaccess repository (e.g. Archive ouverte of UNIGE)

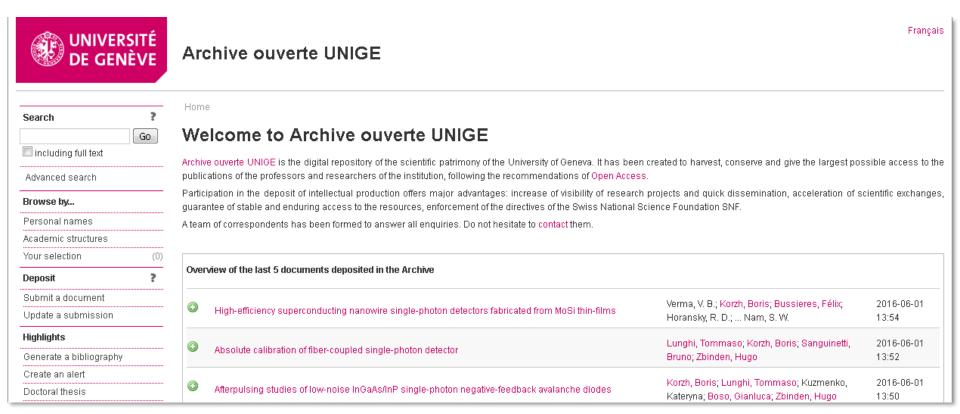


Depending on the journal:

- Some versions (e.g. Publisher's PDF) can not be posted
- An **embargo** period may apply



ARCHIVE OUVERTE UNIGE





SHERPA/ROMEO



. . . opening access to research

Home · Search · Journals · Publishers · FAQ · Suggest · About

Search - Publisher copyright policies & self-archiving

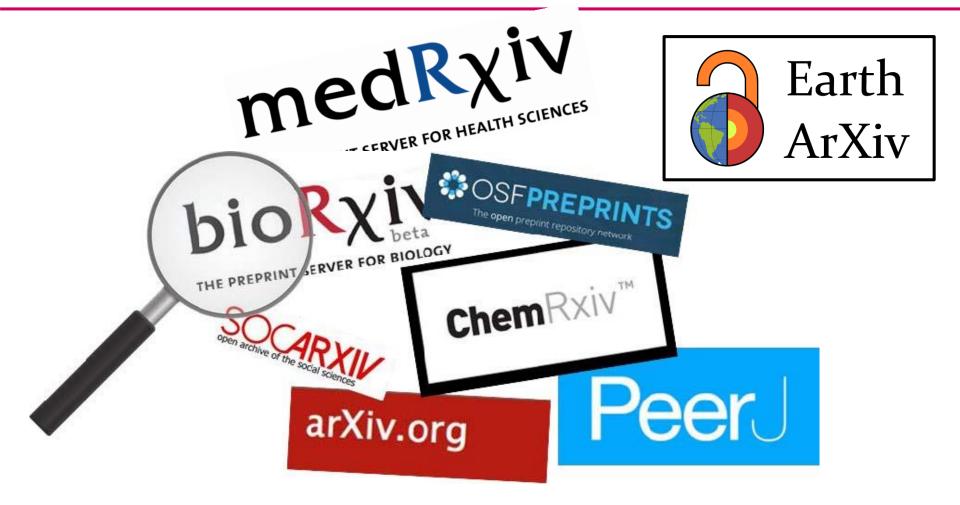
One journal found when searched for: 0036-8075



PREPRINT SERVERS

- Free online archive and distribution service for unpublished preprints.
- Authors make their findings immediately available and receive feedback on draft manuscripts.
- Articles are not peer-reviewed, edited, or typeset.
- Authors are free to submit a revised version of an article at any time prior to its formal acceptance by a journal.
- Once posted, articles are citable and therefore cannot be removed.

CHECK YOUR PRE-PRINT SERVER!



CLASSIFICATION OF OPEN ACCESS

Gold Road



All articles of a Journal are accessible for free on the journal's website

Green Road



Self-archiving on an institutional repository

Hybrid

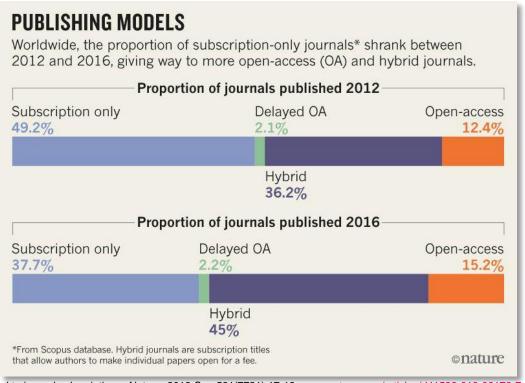


Subscription Journal, but options to make individual papers open for a fee



- Publication of an article in Open Access (after paying an APC)
- In a subscription journal

→ «double-dipping» risk for the institution



OPENNESS SPECTRUM

ACCESS	READER RIGHTS	REUSE RIGHTS	COPYRIGHTS	AUTHOR POSTING RIGHTS	AUTOMATIC POSTING	MACHINE READABILITY	ACCESS
OPEN ACCESS	Free readership rights to all articles immediately upon publication	Generous reuse & remixing rights (e.g., CC BY license)	Author holds copyright with no restrictions	Author may post any version to any repository or website with no delay	Journals make copies of all articles automatically available in trusted third-party repositories (e.g.,PubMed Central, OpenAire, institutional) immediately upon publication	Article full text, metadata, supporting data (including format and semantic markup) & citations may be accessed via API, with instructions publicly posted	OPEN ACCESS
	Free readership rights to all articles after an embargo of no more than 6 months	Reuse, remixing, & further building upon the work subject to certain restrictions & conditions (e.g., CC BY-NC & CC BY-SA licenses)	Author retains/publisher grants broad rights, including author reuse (e.g., of figures in presentations/teaching, creation of derivatives) and authorization rights (for others to use)	Author may post some version (determined by publisher) to any repository or website with no delay	Journals make copies of all articles automatically available in trusted third-party repositories (e.g., PubMed Central, OpenAire, institutional) within 6 months	Article full text, metadata, & citations may be accessed via API, with instructions publicly posted	
	Free readership rights to all articles after an embargo greater than 6 months	Reuse (no remixing or further building upon the work) subject to certain restrictions and conditions (e.g., CC BY-ND license)		Author may post some version (determined by publisher) to any repository or website with some delay (determined by the publisher)	Journals make copies of all articles automatically available in trusted third-party repositories (e.g., PubMed Central, OpenAire, institutional) within 12 months	Article full text, metadata, & citations may be crawled without special permission or registration, with instructions publicly posted	
	Free and immediate readership rights to some, but not all, articles (including "hybrid" models)	Some reuse rights beyond fair use for some, but not all, articles (including "hybrid models")	Author retains/publisher grants limited rights for author reuse (e.g., of figures in presentations/ teaching, creation of derivatives)	Author may post some version (determined by publisher) to certain repositories or websites, with or without delays	Journals make copies of some, but not all, articles automatically available in trusted third-party repositories (e.g., PubMed Central, OpenAire, institutional) within 12 months	Article full text, metadata, & citations may be crawled with permission, with instructions publicly posted	
	Subscription, membership, pay-per-view, or other fees required to read all articles	No reuse rights beyond fair use/dealing or other limitations or exceptions to copyright (All Rights Reserved)	Publisher holds copyright, with no author reuse beyond fair use	Author may not deposit any versions to any repositories or websites at any time	No automatic posting in third-party repositories	No full text articles available for crawling	CLOSED
HowOpenIsit?®							

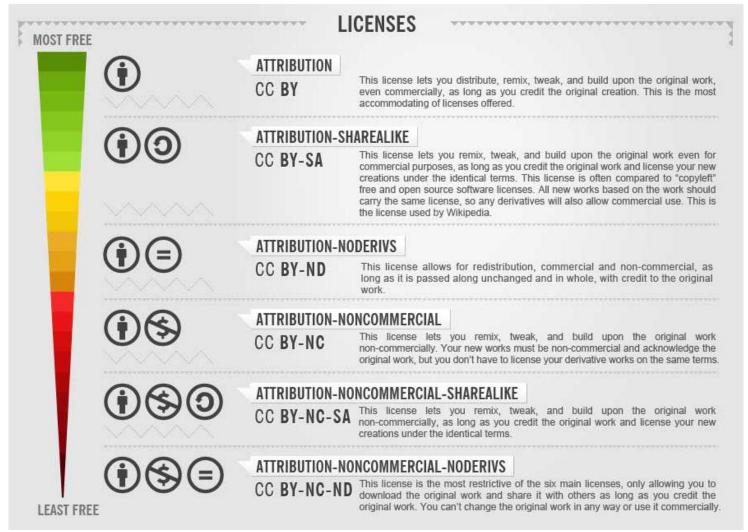


HowOpenIsIt?"

©2014 Scholarly Publishing and
Academic Resources Coalition et
Public Library of Science
Sous licence CC BY

https://www.plos.org/files/HowOpenIsIt_English.pdf

CREATIVE COMMONS



CC BY-SA Foter, Creative Commons: free photos for bloggers, http://tinyurl.com/bgef7m4

WHAT DOES THE FINE REQUIRE?

As of **2020**: all publications resulting from SNSF funding have to be available in OA (articles, books...) - https://oa100.snf.ch

You can choose:

- The gold road. APC will be covered by SNSF, but not for hybrid journals (https://oa100.snf.ch)
- The green road. Publish in a subscription journal, then share via a public repository (embargo can not be longer than 6 months for an article, 12 months for a book)
- Bybrid is not a valid option for a funding by SNSF!!!

WHAT IS THE PLAN S?



- Initiative for Open Access launched in September 2018
- Supported by coalition S: international consortium of research funders



WHAT IS THE PLAN S?



As of January 1st, 2021:

- All scholarly publications (VoR or AAM) must be immediately openly available (no embargo period)
- Must be accessible under an open license allowing for re-use (CC BY license)
- No hybrid journals (ok in a transition period, only if transformative agreements, such as «Read & Publish»)
- Journals must be registered in the DOAJ

What about swissuniversities?

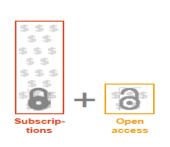
- National Open Access Strategy (2017): all publicly funded publications have to be freely accessible from 2024 onwards.
- This vision is in line with current European models.
- Allows and roads.
- Action plan: negotiations with international publishers, national monitoring...

PUBLISHERS' NEW MODELS

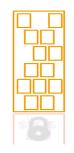
• **Platinum** Journals: no APC (financed by institutions, learned society...)



- Licences «Read & Publish»: bundle together access to a publisher's subscription content with the ability to publish openly through its journals without paying individual APCs
- Licences «Publish & Read»: the institution pays for a subscription for publishing, and it also includes access to read the contents







Kai Geschuhn (Max Planck Digital Library), *Transformative Open Access Agreements*, Workshop on Data Analysis for Open Access Agreements, 13 September 2018, ETH Zurich

swissuniversities NATIONAL NEGOTIATIONS

Wiley

✓ The declaration of intent concluded with this publisher foresees the
continuation of negotiations with the aim of concluding a "Read &
Publish" contract in 2021.

Elsevier

- ✓ A declaration of intent foresees the conclusion of a "Read & Publish" agreement valid from January 2020, which must be fully negotiated by the end of March 2020.
- ✓ Access to academic publications and researchers in the Swiss academic community should not pay publishing fees.

Springer Nature

- ✓ The negotiations failed
- ✓ Springer Nature articles published starting in January 2020 will be inaccessible to the entire Swiss academic community via university library subscriptions, cut-off is effective from 1 April 2020.

UNIGE OPEN ACCESS POLICY

- ✓ Obligation for all UNIGE collaborators to deposit a copy of their publications in Archive ouverte UNIGE (§2 of institutional Policy)
- ✓ Obligation to select the most open access level possible (§4)
- ✓ Both VoR and AAM are accepted

Institutional policy

https://archive-ouverte.unige.ch/pages/unige_policies

UNIGE SUPPORTS OPEN ACCESS

Publication fund:

https://www.unige.ch/biblio/en/openaccess/publication-fund/

- Journal in the DOAJ (no hybrid journals)
- Covers half the amount of APC or BPC, but a maximum of CHF 1'000 (fully covered up to CHF 500)
- Requester is employed since 2015, or later
- Archive ouverte of UNIGE: https://archive-ouverte.unige.ch/
- Open Access Publications: https://oap.unige.ch/
- Unpaywall: https://www.unige.ch/biblio/fr/unpaywall



EVALUATE INFORMATION



EVALUATE YOUR SEARCH RESULTS

What is a "good" publication?



Measure: CC BY-NC-ND 2.0 Kristina Alexanderson 2012, https://flic.kr/p/bX7d7N



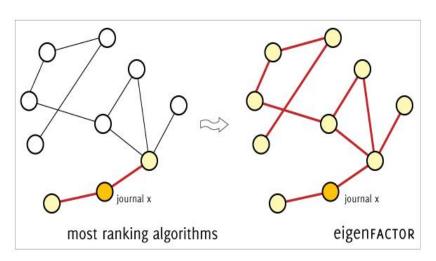
METRICS TO EVALUATE JOURNALS

Impact Factor (IF) of journals in the Journal Citation Reports

IF 2016 =
$$\frac{\text{# of citations in 2016 of (2014+2015) publications from that journal}}{\text{total # of "citable items" published in (2014+2015) by that journal}}$$

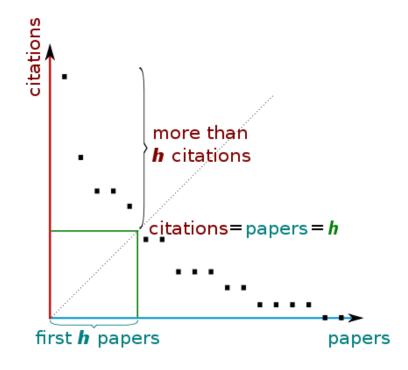
CiteScore

Eigenfactor





H-INDEX FOR RESEARCHERS





H-INDEX FOR RESEARCHERS



Robbie Loewith

Follow 🔻

University of Geneva eukaryote growth control, signal transduction, Target Of Rapamycin Complexes, molecular biophysics

Verified email at unige.ch - Homepage

Title 1–20	Cited by	Year
TOR signaling in growth and metabolism S Wullschleger, R Loewith, MN Hall Cell 124 (3), 471-484	3519	2006
Mammalian TOR complex 2 controls the actin cytoskeleton and is rapamycin insensitive E Jacinto, R Loewith, A Schmidt, S Lin, MA Rüegg, A Hall, MN Hall Nature cell biology 6 (11), 1122-1128	1266	2004
Two TOR complexes, only one of which is rapamycin sensitive, have distinct roles in cell growth control R Loewith, E Jacinto, S Wullschleger, A Lorberg, JL Crespo, D Bonenfant, Molecular cell 10 (3), 457-468	1225	2002
0 who amend a single way of the DIO 17 fearth, what was a wall fear with Out in its culture.		

Google Scholar			
		Q	
Get my own profile			
Citation indices	All	Since 2010	
Citations	10335	7189	
h-index	26	23	
i10-index	31	30	
2007 2008 2009 2010 2	2011 2012 2	2013 2014 2015	
Co-authors View all Claudio De Virgilio			
-			
Howard Riezman			



METRICS FOR RESEARCHERS

"Everything that can be counted does not necessarily count; everything that counts cannot necessarily be counted."

Albert Einstein

GAME: THE HIDDEN SIDES OF BIBLIOMETRIC INDICATORS









A young researcher in biomedical sciences has a higher h-index than a colleague in education sciences.



An author would rather write reviews than research articles.



You could have a higher h-index than the first Nobel Prize in your field.



Energy and Environmental Science has a CiteScore of 30.87 and Journal of Field Archaeology a CiteScore of 1.1.



Some editors ask authors to add in their bibliography references from the journal in which they want to publish.



MHY?

A young author who published a few reviews but still no research article has an higher h-index than an author with a first publication of his/her own research results.



MHY?

The article "Waves on the surface of the Orion molecular cloud", published in 2010 has been cited only 20 times since then (within Web of Science) whereas it has been published in *Nature* whose IF is 41.577.



The article "Rethinking plagiarism in the digital age" has been cited 84 times after Google Scholar but only 25 times after Web of Science.



An experienced lab assistant has an higher h-index than a PhD with 2 publications as first author.



Denis Duboule has an h-index of 83 in Google Scholar and of 74 in Web of Science.



An author always adds references from all his/her previous publications in the bibliography of his/her articles.









General Recommendation:

1. Do not use journal-based metrics, such as Journal Impact Factors, as a surrogate measure of the quality of individual research articles, to assess an individual scientist's contributions, or in hiring, promotion, or funding decisions.



ONLINE SCIENTIFIC ID

WHY CREATING YOUR ONLINE ID?

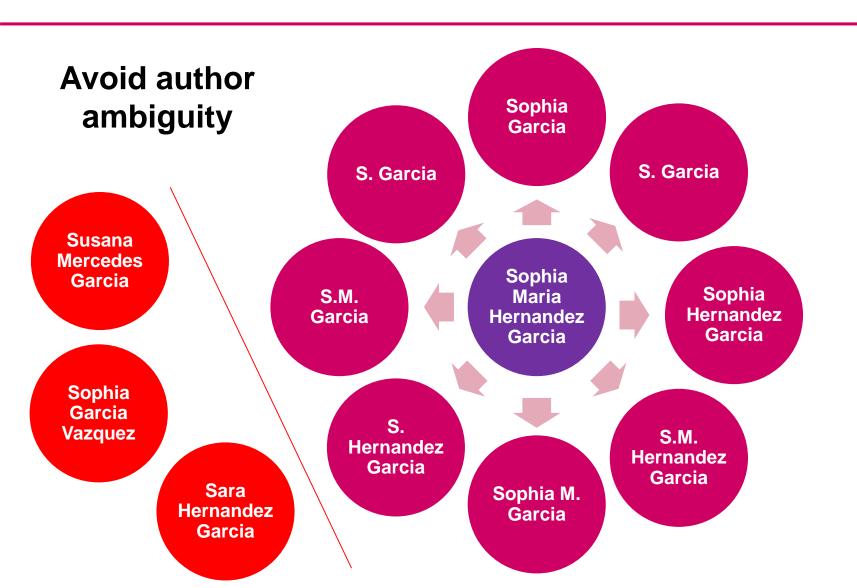
"Publish or Perish"

"Be Visible or Vanish"

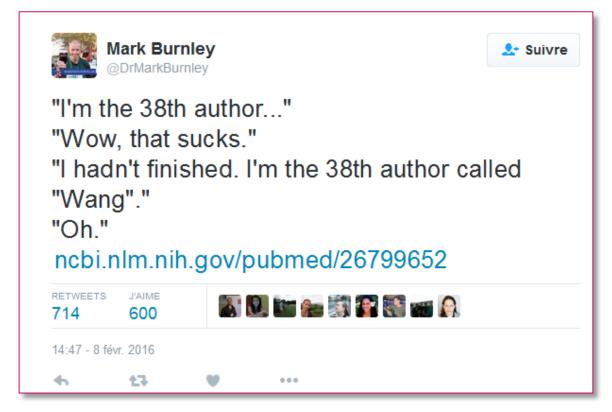
Be visible in a coherent way:

- > Unique identifier
- Online resume
- Academic social networks
- Non-academic social networks

WHY HAVING A SCIENTIFIC ID?



HOMONYMY ISSUES



https://twitter.com/drmarkburnley/status/696827654645948418

NOT ONLY WITH COMMON NAMES!



Prof. Nicolas ZUFFEREY

Professeur ordinaire Institute of Management



M. Nicolas Zufferey

Professeur ordinaire, responsable de l'unité, directeur du département ESTAS

Search for Nicolas Zufferey in Archive ouverte UNIGE

La Transition: réflexions épistémologiques à partir du cas chinois

La Caverne des Rêves: dix poèmes chinois en langues romanes

Inventory control of an item with a probabilistic replenishment lead time and a known supplier shutdown period

Online vehicle routing and scheduling with dynamic travel times



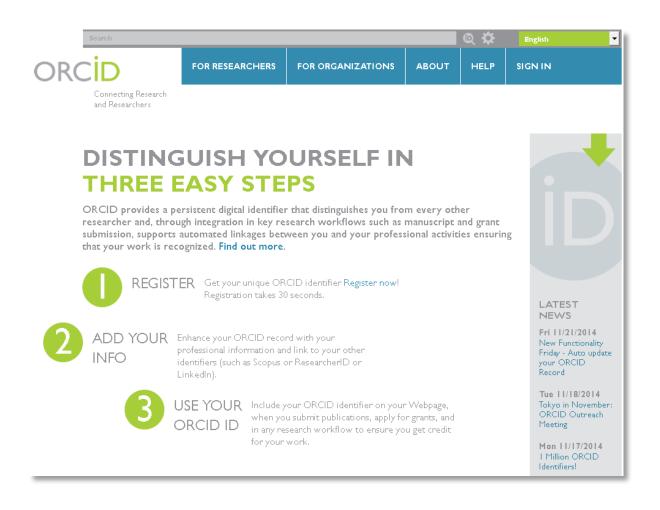
- The registry is an independent nonprofit organization
- No fees
- Alphanumeric code (4x4 characters) to uniquely identify scientific authors (ex: 0000-0003-0166-248X)
- ORCID is a subset of the International Standard Name Identifier (ISNI)



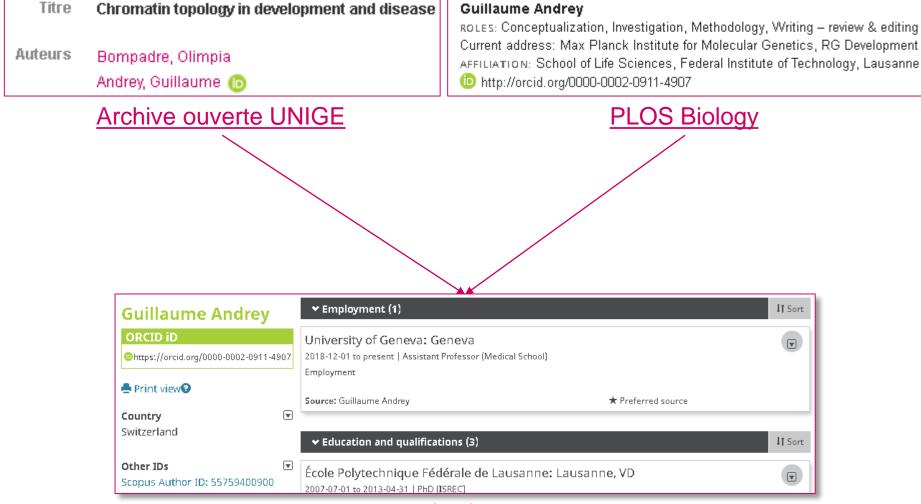
AIMS OF ORCID

- Help the transition from science to e-science
- Provide each researcher with a constantly updated digital CV
- Other organizations will use the open-access
 ORCID database to build their own services

HTTP://ORCID.ORG/



WHEN IS ORC D USEFUL?





COMMERCIAL ID

RESEARCHER ID

Thomson Reuters (Web of Science, EndNote)



Elsevier

Can be linked to your ORC

WHICH TOOL TO USE?

It depends on what you aim to?

- ✓ Start new collaborations
- ✓ Share your publications
- ✓ Look for a job

ONLINE RESUME



«Carrer»-oriented

- Jobs
- Competencies
- Achievements



«Research»oriented

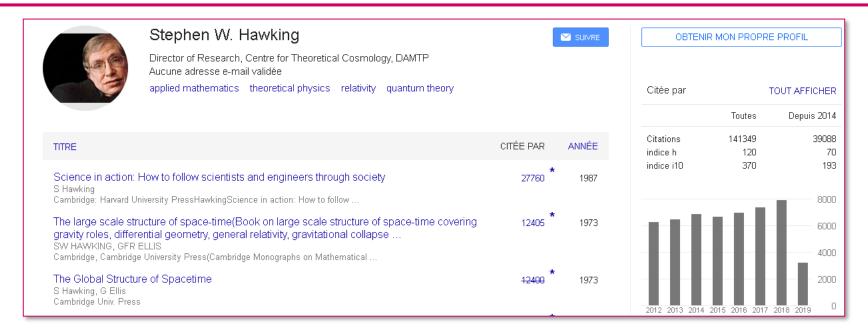
- Publications
- Bibliometrics



Faculty or department websites

- Biography
- Publications
- Projects





A Google Scholar allows you to:

- ✓ Create your personal profile
- ✓ List all your publications indexed in Google Scholar
- ✓ Access bibliometric indicators

ACADEMIC SOCIAL NETWORKS

ACADEMIA

- √ 85M users
- ✓ No institution checking
- ✓ Humanities oriented

ResearchGate

- √ 15M users
- ✓ Institution checking
- ✓ STM oriented

ACADEMIC SOCIAL NETWORKS VS ARCHIVES OUVERTES

	ASN	AO
Business model	Commercial	Non-profit
Compliant with OA policies	×	✓
Interoperability	×	✓
Long term preservation	×	✓
Collaboration with researchers	✓	×

These tools can be complementary.

Most of the time, full-texts can not be uploaded on your social network.



Non-academic social network



- ✓ To stay informed on one's latest publications
- ✓ To share your latest publications
- ✓ Practices are scientific community-dependent
- ✓ Try not to mix private and academic life!





Montoya Laboratory

675 Tweets



CASE STUDIES

CASE STUDIES



1. How to choose a journal?



2. Who should be author?



3. How to comply with OA and copyright?

Images : Jörgen Stamp, Digitalbevaring.dk, CC BY 2.5 Danmark

GUIDELINES FOR THE GROUP WORK

13:00- 14:00	 Preparation in groups: Read your case Read the provided documentation Prepare a PowerPoint following the given instructions
14:00- 16:00	 Presentations: Max. 30 min. for each case 15 min. for the presentation 10 min. for the discussion

1. How to choose a Journal?

Study case

Professor David Horisberger advised his PhD Colin 4 journals in which Colin should publish his new method for Alzheimer disease study in rats, originally developed for Huntington disease study.

- Before answering him, the student considers those 4 options
- He prepared a table as a base of discussion with his professor;
- He thinks that 2 journal candidates could be selected amongst the pre-selected 4;
- His project thesis was financed by SNSF.

Target

Explain to participants which 2 journals Colin may have selected?

Source: Henkel T, Vullioud-Marcacci S, Mellifluo L. Mastering the Publication Process to Promote Your Scientific Career.

1. How to choose a journal?

A journal choice is personal!

- ✓ Avoid publishing only in experimental Open Access journals as a young researcher
- ✓ Avoid relying only IF journals, providing that Open Access could insure you also an interesting visibility, maybe helping your citations, altmetrics, or h-index
- ✓ Once your scientific carrier is well established, try to find new way of publishing allowing the development of sustainable Open Science?

2. Who should be author?

Study case

The PhD student **Marie Schuller** is writing an article on pro-biotics supplementation:

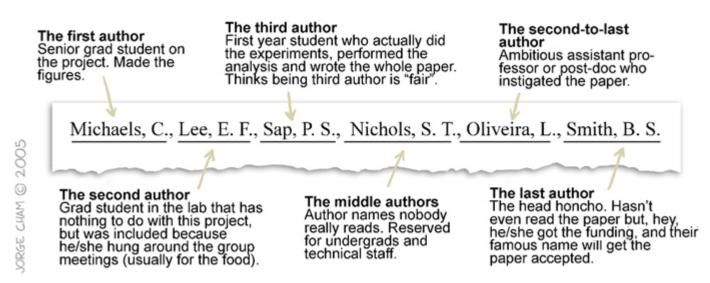
- She is writing the article together with John Imrak, a post-doc student located abroad. They both processed Marie's data.
- She generated her own data, but combined them with non-published older data from a former lab PhD, Stefan Aragno.
- Marie designed the experiment together with Marc Hindermülle, a statistician.
- Paul Vinze is Marie Schuller's Professor and raised the project funding money. He read the article when the writing of Marie and John was finished and was very happy of the work and conclusions, allowing Marie to submit her article to a journal. Prof. Vinze's chair is funded by Nestlé and Roche.

Target

Who should be mentioned as an author on Marie's article?

2. Who should be author?

THE AUTHOR LIST: GIVING CREDIT WHERE CREDIT IS DUE



http://phdcomics.com/comics.php?f=562

WWW.PHDCOMICS.COM

Co-author content responsibility is engaged!

- You may not know that a co-author commits plagiarism, misconduct or scientific results fraud, if you are not actively involved in the publication
- Due to development of post-review tools and fast relay of information on Internet, your scientific and e-reputation can be attacked, even years after publication

3. How to comply with Open Access and copyright?

Study case

You are given 5 articles and corresponding self-archiving full texts.

Target

Complete the chart and find the 2 best self-archiving practices, for copyright and OA compliances

3. How to comply with Open Access and copyright?

Anyway self-archive your article!

- Self-archive in institutional and/or subject repositories to allow long-term archiving. Avoid to rely only on social and/or scientific social media Linked-in, Academia, or ResearchGate
- Self-archive your articles within 6 months with the right type of manuscript to comply with copyright
- Comply with copyright for your researcher professional credibility!

THANK YOU FOR YOUR ATTENTION

www.unige.ch/biblio

Audrey.Bellier@unige.ch

Vincent.Huber@unige.ch

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